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Attorney Docket No.: YOR920000581US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): J.L. Hellerstein et al.

SERIAL NO.: 09/731,937

FILED: December 7, 2000

FOR: METHOD AND SYSTEM FOR MACHINE-AIDED RULE  
CONSTRUCTION FOR EVENT MANAGEMENT

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: Judith E. Ryan Date: February 28, 2001

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

U.S. Patent Documents

U.S. Patent No. 5,874,955 issued on 2/23/99 to Rogowitz et al.

U.S. Patent No. 5,661,668 issued on 8/26/97 to Yemini et al.

U.S. Serial No. 09/359,874 filed on 7/27/99 and entitled "System and Method for Exploratory Analysis of Data for Event Management."

Other Documents

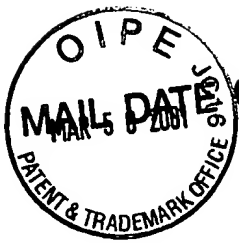
1. K.R. Milliken et al., "YES/MVS and the Automation of Operations for Large Computer Complexes," IBM Systems Journal, Vol. 25, No. 2, pp. 159-180, 1986.

2. Computer Associates International, "Neugents. The Software That Can Think," <http://www.cai.com/neugents>, 2 pages, July 16, 1999.

3. S. Ma et al., "EventBrowser: A Flexible Tool For Scalable Analysis of Event Data," Distributed Operations and Management, pp. 1-12, April 15, 1999.

4. T.M. Mitchell, "Machine Learning," McGraw Hill, pp. 29-33, 1997.

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The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or as an admission that the information cited is considered to be material to patentability, or as a representation that no other material information exists.

Respectfully submitted,

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